

Attorney Docket No.: 316-000111US Client Reference No.: GALO/001/02US

#### **DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: USE OF INHIBITORS OF PROTEIN KINASE C EPSILON TO TREAT PAIN the specification of which was filed on January 4, 2002 as Application No. 10/039,278, and was amended on July 17, 2002.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country Application No.		Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/103,763	October 9, 1998

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
09/347,370	July 6, 1999	Issued as US Patent No. 6,376,467

COFY Fraces Frankli

Attorney Docket No.: 316-000111US Client Reference No.: GALO/001/02US

Full Name of	Full Name:			
Inventor 1:	Messing, Robert O.			
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Foster City	CA	US	
Post Office	Post Office Address:			
Address:	960 Swan Street, Foster	City, CA 94404	•	
Full Name of	Full Name:			
Inventor 2:	Levine, Jon D.			
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	San Francisco	CA	US	
Post Office	Post Office Address:			
Address:	791 12th Avenue, San Francisco, CA 94118			

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Messing, Robert O.	Signature of Inventor 2  Levine, Jon D	
Date 5-8-03	Date 5-10-03	



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FEBRUARY 14, 2002

**PTAS** 

COOLEY GODWARD LLP MARCELLA LILLIS, PH.D. FIVE PALO ALTO SOUARE 3000 EL CAMINO REAL PALO ALTO, CA 94306-2155 Under Secretary of Commerce For Intellectual Property Law Group, P.C. Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NCTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/18/2001

REEL/FRAME: 012393/0187

NUMBER OF PAGES: 2

ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). BRIEF:

ASSIGNOR:

MESSING, ROBERT O.

DOC DATE: 11/01/2001

ASSIGNOR:

LEVINE, JON D.

DOC DATE: 11/01/2001

ASSIGNEE:

PATENT NUMBER:

REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE 1111 FRANKLIN STREET, FIFTH FLOOR OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09347370

FILING DATE: 07/06/1999

ISSUE DATE:

MARY BENTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 12-28-2001



CO

Form PTO-1595 U.S. DEPARTMENT OF COMMERCE (Rev. 03/01) U.S. Patent and Trad mark Office OMB No. 0651-0027 (exp. 5/31/2002) 101927579 Tab settings ⇒ ⇒ ⇒ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 12/18/01 MESSING, Robert O. and LEVINE, Jon D. 2. Name and address of receiving party(ies) Name: the Regents of the University of California Internal Address: Additional name(s) of conveying party(ies) attached? No 3. Nature of conveyance: X Assignment □ Merger ☐ Security Agreement ☐ Change of Name Street Address: 1111 Franklin Street, Fifth Floor. , CA Other\_\_\_ City: Oakland State: CA Zip: 94607-5200 Additional name(s) & address(es) attached? Yes No Execution Date: November 1, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 09/347,370 DEC 12 W. Additional numbers attached? Yes X No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: 7. Total fee (37 CFR 3.41).....\$40.00 Name: Marcella Lillis, Ph.D. [X] Enclosed Cooley Godward LLP Authorized to be charged to deposit account Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155 8. Deposit account number 03-3117 2/27/2001 LNUELLER 00000201 09347370 (Attach duplicate copy of this page if paying by deposit account) 1 FC:581 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Maullefell 12/18/01
Signature Date Marcella Lillis, Ph.D., Reg. No. 36,583 Name of Person Signing

Express Mail" mailing label num, er EC 514 040 842 U.S. Date of Decest 12/18/01

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Date, 18Dec01 By Med MIN

Date:

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# COPY FROM PARENT

Attorney Docket No. GALO-001/01US

### **ASSIGNMENT**

For good and valuable consideration, the receipt of w	hich is hereby acknow	owledged, Assignor(s),
1. MESSING, Robert O.	· 2.	LEVINE, Jon D.
3.	4.	
hereby sells, assigns and transfers to <b>Assign</b> Corporation, having its statewide administrative of Street, Fifth Floor, Oakland, CA 94607-5200, a <b>Assignee</b> all of its right, title and interest for the countries in and to, any and all improvements who	offices located at ( and the successore United States and	Office of Technology Transfer, 1111 Franklins, assigns and legal representatives of the distribution its territorial possessions and in all foreign
USE OF INHIBITORS OF	F PROTEIN KII REAT PAIN	NASE C EPSILON TO
and which is found in		
(a) U.S. provisional application filed here	ewith and listing the	above named persons as inventors
(b) U.S. patent application filed herewith	and listing the above	e named persons as inventors
(c) <u>X</u> U.S. application serial no. 09/347,370,	, filed on July 6, 1999	9.
(d) U.S. Patent No.:	, issued	
and any legal equivalent thereof in a foreign country, is to be obtained for said invention by the above applicate conversion to 35 USC 111(a) or substitute thereof, and all rights under all International Conventions for the Properties.	tion or any continuat d any reissue, reexam	ion, division, continuation-in-part, extension, tination or extension of said Letters Patent and
Assignor(s) hereby covenants that no assignment, sale into which would conflict with this assignment;	agreement or encur	mbrance has been or will be made or entered
Assignor(s) further covenants that Assignee will, upon documents relating to said invention and said Letters P. Assignor and will testify as to the same in any interference and deliver to Assignee or its legal representation, obtain, maintain, issue or enforce said application, which may be necessary or desirable to carry out the p by the execution of this assignment to insert into this a officially known.	Patent and legal equiverence, litigation or priving any and all paper, said invention and sourposes thereof. An	valents as may be known and accessible to roceeding relating thereto and will promptly rs, instruments or affidavits required to apply aid Letters Patent and said equivalents thereof attorney of record is authorized and requested
And the Assignor(s) requests the Commissioner of Pal States and any reissue or extension thereof to the Assign	tents and Trademarks gnee, the Regents of	s to issue said Letters Patent of the United the University of California.
Executed this	Signature of Inven	itor(s)
1 day of November, 10 2001	blood	-O. Mers-/
1 day of November, 10 2001	Sm	MESSING, Robert O.

## COPY FROM PARENT

(Modified) PTO/SB/81 (11-96) Approved for use through 6/30/99. OMB 0651-0035

<b>POWER OF ATTORNEY OR</b>
<b>AUTHORIZATION OF AGENT, NOT</b>
ACCOMPANYING APPLICATION

Patent Number 6,376,467
Issue Date April 23, 2002
First Named Inventor Messing, R bert O.

Attorney Docket Number 316-000110US

I hereby appoint:						
X Practitioners at Cus	stomer Number	2279 Type Customer N		re	2279	
OR					. 441	,0
Practitioner(s) named	I below:			<u> </u>	PATENT TRADEWARK	OFFICE
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	Name	44		Registration	Number	
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as my/our attorney(s) or agpatent identified above,	gent(s) to transact a	all business in th	e Patent	and Trademarl	k Office con	nected with the
Please change the corresp	ondence address f	for the above ide	ntified e-	onlinetion to		
X The above-n	nentioned Custome	er Number	muneu aț	oplication to:		
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Address	P.O. Box 458					
Address						····
City	Alameda		State	CA	Zip	94501
Country	United States				<del></del>	
Telephone	(510) 337-7871		Fax	(510) 337-787	7	
Applicant.  Applicant.  Assignee of record of the entire interest (Certificate under 37 CFR 3.73(b) is enclosed)  SIGNATURE of Applicant or Assignee of Record						
Name Susan Y	Nakachime					
Signature Susan Y. Nakashima  Signature Aucom G. Nakashima						
Date August 19, 2002						
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to:  Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9-13-02						
Typed or printed name	TracieBr	ooks				
Signature	Tracie Br Law	Brooks		Dat	9-13-	.02

PTO/SB/96 (2-98) Approved for use through 09/30/02000. OMB 0651-0031

		STATEMENT U	INDER 37 CFR 3.73(	<u>b)</u>			
	App	olicant: Messing, Robert O., ta	l. ·				
	App	olication No.: 09/347,370	Filed:	July 6, 1999			
	Enti	tled: USE OF INHIBITORS OF PR	OTEIN KINASE C EPSIL	ON TO TREAT PAIN			
_		Regents of the University , a California	unive	•			
		, , ,	Type of Assignee, e.g., corporation, parine	rship, university, government agency, etc.)			
sta	tes t	hat it is:		-			
1.	X	the assignee of the entire right, t	itle, and interest; or				
2.		an assignee of an undivided part	interest				
in t	he p	atent application identified above by v	rirtue of either:				
Α.	A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for						
OF	}	which a copy thereof is attached.					
В.	[ ]	A chain of title from the inventor(s), o current assignee as shown below:	f the patent application id	entified above, to the			
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		The document was recorded in the P Reel, Frame, or for when the property of the pro					
	2.	From:	To:				
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	[ ] Additional documents in the chain of title are listed on a supplemental sheet						
[X	]Cop	pies of assignments or other documen	ts in the chain of title are	attached.			
		dersigned (whose title is supplied belo gnee.	w) is empowered to sign	this statement on behalf of			
		September 9, 2002 Date	Susan V. M. Signatur	lashina			
			Susan Y. Nakasl Typed or printe				
			Business Manage Title	er			

Attorney Docket No. GALO-001/01US

#### **ASSIGNMENT**

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For good	and valuable consideration, the receipt of which	ch is hereby ackno	wledged, Assign r(s),
1. MESS	ING, Robert O.	2.	LEVINE, Jon D.
3.		4.	
Corporati Street, Fi Assigned	on, having its statewide administrative offitifth Floor, Oakland, CA 94607-5200, and	ces located at C the successors nited States and	of the University of California, a California Office of Technology Transfer, 1111 Franklir s, assigns and legal representatives of the lits territorial possessions and in all foreign the invention entitled:
	USE OF INHIBITORS OF P TRI	ROTEIN KIN EAT PAIN	NASE C EPSILON TO
and which	is found in		
(a) _	U.S. provisional application filed herewi	th and listing the a	bove named persons as inventors
(b) _	U.S. patent application filed herewith and	I listing the above	named persons as inventors
(c) _	X_U.S. application serial no. 09/347,370, file	ed on July 6, 1999	
(d) _	U.S. Patent No.:	_, issued	
to be obtain	gal equivalent thereof in a foreign country, included for said invention by the above application to 35 USC 111(a) or substitute thereof, and an under all International Conventions for the Protest	or any continuation y reissue, reexami	on, division, continuation-in-part, extension, ination or extension of said Letters Patent and
	e) hereby covenants that no assignment, sale, ag would conflict with this assignment;	greement or encun	nbrance has been or will be made or entered
Assignor a execute and for, obtain, which may		nt and legal equives, litigation or pro- any and all papers d invention and sa oses thereof. An a	alents as may be known and accessible to occeeding relating thereto and will promptly s, instruments or affidavits required to apply aid Letters Patent and said equivalents thereof
	signor(s) requests the Commissioner of Patent any reissue or extension thereof to the Assigne		
Executed the	_	ignature of Invent	MESSING, Robert O.
day of	November 12001	Sm	LEVINE, Jon D.